TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))						Docket No. END920030072US1				
In Re Application Of: Robert C. Wong										
Applic	ation No.	Group Art Unit	Confirmation No.							
	754,320	January 8, 2004	Huynh, Andy	23389	2818	7469				
riue.	Title: DISCRIMINATIVE SOI WITH OXIDE HOLES UNDERNEATH DC SOURCE/DRAIN									
·		-	Address to:							
			Commissioner for Patent P.O. Box 1450 Alexandria, VA 22313-14							
			37 CFR 1.97(b)							
1. 🛚	1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.									
			37 CFR 1.97(c)							
2.										
	the statement specified in 37 CFR 1.97(e);									
OR										
	the fee set forth in 37 CFR 1.17(p).									
OR										

TRANSMITTA	Docket No. END920030072US1								
In Re Application: Robert C. Wong									
Application No.	Group Art Unit	Confirmation No.							
Application No. Filing Date Examine  10/754,320 January 8, 2004 Huynh, An			ıdy	23389	2818	7469			
Title: DISCRIMI	Title: DISCRIMINATIVE SOI WITH OXIDE HOLES UNDERNEATH DC SOURCE/DRAIN								
Payment of Fee  (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))    A check in the amount of									
cc:									

TRA	TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))  Docket No. END920030072US1									
In Re Application Of: Robert C. Wong										
Applic	Application No. Filing Date Examiner Customer No. Group Art Unit Confirmation No.									
10/	754,320	January 8, 2004	Huynh, Andy	23389	2818	7469				
Title:	DISCRIMIN	NATIVE SOI WITH (	OXIDE HOLES UNDERNEATE	I DC SOURCE	/DRAIN					
	COPY COPY									
	Address to:  Commissioner for Patents  P.O. Box 1450  Alexandria, VA 22313-1450									
			37 CFR 1.97(b)							
1. 🔀	1. Mathematical Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.									
			37 CFR 1.97(c)							
2. 🗖	<u> </u>									
	☐ the statement specified in 37 CFR 1.97(e);									
			OR							
	the fee set forth in 37 CFR 1.17(p).									
:										

TRANSMITT	Docket No. END920030072US1								
In Re Application: Robert C. Wong									
Application No. Filing Date Examiner Customer No. Group Art Unit Confirm									
10/754,320	January 8, 2004	Huynh, A	ndy	23389	2818	7469			
Title: DISCRIMINATIVE SOI WITH OXIDE HOLES UNDERNEATH DC SOURCE/DRAIN									
	Payment of Fee  (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))  A check in the amount of is attached.  The Director is hereby authorized to charge and credit Deposit Account No. 09-0457/IBM as described below.								
⊠ Cre	arge the amount of edit any overpayment arge any additional fe								
WARNING included o	<ul> <li>Payment by credit card. Form PTO-2038 is attached.</li> <li>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</li> </ul>								
I certify that this account is bein	document and authorizate g facsimile transmitted emark Office (Fax. No.	ion to charge deposit	Certificate of Mailing by First Class Mail  I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on						
Signature Signature of Person Mailing Correspondence Leslie S. Szivos, Ph.D.									
Typed or Printed Name of Person Signing Certificate  Typed or Printed Name of Person Mailing Certificate  Typed or Printed Name of Person Mailing Certificate									
*This certificate may only be used if paying by deposit account.  Dated: April 18, 2005									
Leslie S. Szivos, Ph.1 Registration No. 39,									
cc:									

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Robert C. Wong

Examiner:

Huynh, Andy

Serial No.:

10/754,320

**Art Unit**:

2818

Filed:

January 8, 2004

Docket:

END920030072US1 (16925)

Dated:

April 18, 2005

For:

DISCRIMINATIVE SOI WITH OXIDE HOLES UNDERNEATH DC

SOURCE/DRAIN

Confirmation No. 7469

Mails Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, applicants submit the following references which applicants believe may be material to the above-identified patent application. A copy of the references which applicants wish to make of record in this case is enclosed herein for the Examiner's convenience along with a listing on Form PTO-1449 attached.

- 1. U.S. Patent No. 4,571,609 A dated February 18, 1986 to Hatano, et al.;
- 2. U.S. Patent No. 4,683,637 A dated August 4, 1987 to Varker, et al.;

## CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being facsimile transmitted to the Patent and

Trademark Office on the date shown below.

Dated: April 18, 2005

Leslie S. Szivos, Ph.D.

- 3. U.S. Patent No. 5,841,126 A dated January 2, 1996 to Subramanian, et al.;
- 4. U.S. Patent No. 6,429,099 B1 dated August 6, 2002 to Christensen Todd Alan, et al.;
- 5. U.S. Patent Publication No. 2001/026990 A1 dated October 4, 2001 to Christensen Todd Alan, et al.;
- 6. U.S. Patent Publication No. 2002/163041 A1 dated November 7, 2002 to Kim Min-Su;
- 7. U.S. Patent Publication No. 2002/182787 A1 dated December 5, 2002 to Bae-Geum-Jong;
- 8. U.S. Patent Publication No. 2004/262695 A1 dated December 30, 2004 to Steegan, et al;

All the references listed on Form PTO-1449 are in the English language, thus, a concise explanation of those references required by 37 C.F.R. §1.98(a)(3) is not necessary.

Moreover, since all the listed references are U.S. Patents or U.S. printed Publication, a copy of the same is not enclosed herein.

Applicants observe that each of the references listed above are references that were cited in an International Search Report dated March 11, 2005. A copy of the International Search Report is enclosed herein. Since the information Disclosure Statement is being submitted under 37 C.F.R. §1.198(c), applicants include herewith the following statement:

That each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Consideration of this Information Disclosure Statement is respectfully requested.

Respectfully submitted

Leslie S. Szivos

Registration No. 39,394

SCULLY, SCOTT, MURPHY & PRESSER 400 Garden City Plaza, Suite 300 Garden City, New York 11530 (516) 742-4343 Customer No. 23389 LSS:jw

INFORMATION DISCLOSURE CITATION					Docket Number (Optional)  END920030072US1 (16925)  Applicant(s)  Application Number 10/754,320					
		(Use several sheets if neces			Robert C. Wong					
					Filing Date January 8, 2004			Group Art Unit 2818		
			ש	.s. patent	DOCUMENTS					
*EXAMINER INITIAL	I DEV I DYCHMENT NIMBER I DATE		DATE		NAME CL		SUBCLASS	FILING DATE  IF APPROPRIATE		
		4,571,609	02/18/96	Hatano	, et al.					
4,683,637 A		4,683,637 A	08/04/87	Varker,	Varker, et al.					
	5,841,126 02/02/96 Subrama		anian, et al							
		6,429,099 B1	08/06/02	Christe	Christensen Todd Alan, et al.					
			U.S. PATER	NT APPLICA	TION PUBLICATIONS			<u></u>		
*EXAMINER   DOCUMENT NUMBER		DOCUMENT NUMBER	DATE		NAME CL		SUBCLASS	FILING DATE IF APPROPRIATE		
		2001/026990 A1	10/04/01	Christe	nsen Todd Alan, et al.					
		2002/163041 A1	11/07/02	Kim Min-Su						
		12/05/02	Bae-Geum-Jong							
	2004/262695 A1 12/30/04			Steegan	eegan, et al					
			FOR	EIGN PATE	NT DOCUMENTS	r		<u> </u>		
	REF	DOCUMENT NUMBER	DATE		COUNTRY		SUBCLASS	Translation YES NO		
						ļ				
			OTHER	DOCUMEN	TS (Including Author,	Title, Date,	Pertinent Pages, E	tc.)		
	-+									
EXAMINE	ZR				DATE CONSIDERED					
EVAMINE	D. Inie	ial if citation considered, wheth	er or not citation is	in conforma	nce with MPEP Section 609: D	raw line thr	ough citation if no	t in conformance and		

Form PTO-A820 (also form PTO-1449)

not considered. Include copy of this form with next communication to applicant.

P09A/REV05

Patent and Trademark Office \* U.S. DEPARTMENT OF COMMERCE

SHEET

OF